



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>6</sup> :</b> <b>B41M 1/00</b>	<b>A2</b>	<b>(11) International Publication Number:</b> <b>WO 99/03684</b> <b>(43) International Publication Date:</b> 28 January 1999 (28.01.99)
<b>(21) International Application Number:</b> PCT/GB98/02161 <b>(22) International Filing Date:</b> 20 July 1998 (20.07.98)  <b>(30) Priority Data:</b> 9715101.3 18 July 1997 (18.07.97) GB  <b>(71) Applicant (for all designated States except US):</b> CAMBRIDGE SENSORS LIMITED [GB/GB]; Downhams House, Downhams Lane, Cambridge CB4 1XT (GB).  <b>(72) Inventors; and</b> <b>(75) Inventors/Applicants (for US only):</b> YON-HIN, Bernadette [GB/GB]; Cambridge Sensors Limited, Downhams House, Downhams Lane, Cambridge CB4 1XT (GB). McCANN, James [GB/GB]; Cambridge Sensors Limited, Downhams House, Downhams Lane, Cambridge CB4 1XT (GB). EAPEN, Saji [GB/GB]; Cambridge Sensors Limited, Downhams House, Downhams Lane, Cambridge CB4 1XT (GB). LOWE, Christopher, Robin [GB/GB]; Cambridge Sensors Limited, Downhams House, Downhams Lane, Cambridge CB4 1XT (GB).  <b>(74) Agent:</b> GILL JENNINGS & EVERY; Broadgate House, 7 Eldon Street, London EC2M 7LH (GB).		<b>(81) Designated States:</b> CA, JP, US, European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).  <b>Published</b> <i>Without international search report and to be republished upon receipt of that report.</i>
<b>(54) Title:</b> THE PRODUCTION OF MICROSTRUCTURES FOR USE IN ASSAYS		
<b>(57) Abstract</b>  A process for the production of a device having a surface microstructure of wells or channels, comprises one or more steps of screen-printing the microstructure as a curable material onto a plastics substrate, and curing the material. Such a device may also be obtained by applying onto a substrate a material that is polymerisable or depolymerisable by irradiation, applying a negative or positive photoresist respectively, irradiating the structure and removing the unpolymerised or depolymerised material.		

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece			TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	NZ	New Zealand		
CM	Cameroon			PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakhstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

## THE PRODUCTION OF MICROSTRUCTURES FOR USE IN ASSAYS

### Field of the invention

This invention relates to the fabrication of microstructures for use in assays.

### Background of the Invention

5        There is considerable interest in the production of microstructures for the testing and quantitation of species, for the monitoring of binding reactions between molecules, for the manufacture of micro-fluidic systems for drug discovery, for genetic analysis and for clinical diagnostic assays. In particular, there is increasing interest in the development of smaller micro-plates for chemical synthesis, for combinatorial chemistry, for high  
10       throughput screening assays, and for binding reactions. The traditional 96-well microtitre plate is being superseded by systems in which there are a greater number, e.g. up to 2304, wells on a plate; see Lemmo *et al*, Anal. Chem. (1997) 69:543-551.

Plates with greater numbers of small wells have considerable advantages, including the use of lower amounts of expensive reagents and the greater number of  
15       reactions that can be screened on one plate, thus reducing costs and maximising throughput. It is also desirable to produce microstructures for valving and pumping liquids on the same device.

### Summary of the Invention

According to one aspect of the present invention, a method for the manufacture  
20       of a microstructure comprises the use of screen-printed layers over a sheet material. According to a second aspect of the invention, a microstructure is obtained by photoresist technology.

The methods of the invention allow the production of microstructures, on a plastics substrate, in large volume at low cost. Depending on the technique that is used,  
25       they allow the construction of microstructures having resolution down to 3-5  $\mu\text{m}$ . In one particular embodiment, the microstructure is in the form of a microtitre plate array, into which chemicals, reagents or other materials are dispensed for the carrying out of reactions of interest to the pharmaceutical and diagnostic industry. A device made by this method may be used for analysis of liquid samples, for the detection of binding events  
30       between binding partners, for drug discovery applications and for combinatorial chemistry and other reactions.

### Description of the Drawings

In the accompanying drawings:

Figure 1 is a schematic plan view, partially enlarged, of a multi-well device that may be produced by the invention;

5        Figures 2, 3 and 4 are each schematic plan views of further devices that may be produced by the invention; and

Figures 5, 6, 7 and 8 are schematic sectional views of channels within such devices.

### Description of the Invention

10        Typically, a device of the invention comprises a pattern, e.g. of wells or channels, laid on a non-conducting substrate such as polyester, polypropylene, polycarbonate or polyvinyl chloride or a glass or ceramic. This layer may be treated to make it suitably hydrophobic, if required.

15        If screen-printing is used, successive layers of screen-printable layers are built up on the sheet material, to give the required pattern(s). The materials are selected to give the appropriate resistance, surface energy or surface tension characteristics needed for the reaction being carried out in the wells formed by the patterns. For example, for combinatorial chemistry reactions in which reactions are to be carried out in highly aggressive solvents (e.g. THF, DMF, NMP, DMSO, xylene or dioxane), the printable  
20        layers may partly comprise gel-sol systems, cross-linked epoxy resins or other chemically or UV cross-linked polymers that are known to be resistive to solvent. For applications in the high throughput screening area, or for screening receptor binding reactions, the systems in use are aqueous; in this case, the inks are advantageously selected to give the correct surface tension and wettability factors; resistance to solvents is less important.  
25        The substrate may also be selected to give the required resistance characteristics or ability to attach proteins or other binding factors.

By using screen-printing, the resolution of the pattern may be down to 50-70  $\mu\text{m}$ . Thus, the diameter of each well can be down to 50-70  $\mu\text{m}$ . For example, wells are 50-500  $\mu\text{m}$  in diameter; they may be 5-100  $\mu\text{m}$  deep.

30        In an alternative embodiment, microstructures having a resolution of as low as 3-5  $\mu\text{m}$  can be formed in a screen-printed insulation layer by a photoimaging process.

In this process, the structure is made by photoimaging, including the use of substances that can be polymerised by the application of light or those that can have their bonds broken by the application of light. These light-sensitive materials are also known as photoimageable resists. Their use provides a low cost, convenient process for the fabrication of microstructures, in a plastics substrate, having properties for these applications comparable to those made by processing on glass.

In a typical application of the process, the device comprises a pattern laid on a non-conducting substrate which may be treated to make it hydrophilic, if desired. A photoimageable hydrophobic layer, such as a photoimageable negative resist, is deposited over the substrate material by a process such as vapour deposition, silk screen printing, spin coating or lithographic printing.

An image of the required pattern is held at the surface of the device, and the system is then exposed to a suitable light source for an appropriate period. The photoimageable layer is then photopolymerised by the light source in those areas that are exposed. In areas that are covered by the mask, the photoimageable layer remains unpolymersed. The mask is therefore designed to cover those areas of the resist that are to be dissolved away by the developer in the next step.

The device is then exposed for an appropriate period to developing solution which dissolves away those areas where the photoimageable layer has not polymerised, to reveal the layer below. In this manner, a regular pattern can be constructed.

In another embodiment of the invention, the same substrate is used but with a positive photoimageable resist (in this case, the bonds between molecules in the resist are broken when the light is applied). In this case, the positive resist is applied to the previous layer by the chosen process and a mask is placed over the coated device. The mask is designed to expose those areas of the resist that are to be dissolved away by the developer in the next stage. The assembly is exposed to the light source and those areas of the resist exposed to the light have inter-molecular bonds broken by the light, thereby rendering them soluble in the developing solution used in the next stage. Unexposed areas of resist remain unaffected and resistant to the developer. Thus, when the device is then exposed to the developing solution, the resist is dissolved away by the developer in the exposed areas, to reveal the pattern.

An alternative method of fabricating the device involves the use of dry freestanding photoimageable film resists, e.g. 5-50  $\mu\text{m}$ . A pattern of these film resists, as described by the above procedures, may be formed on the substrate.

5 In all these processes, resists are chosen that are capable of being developed in mild solutions (aqueous or non-aqueous) that will not damage other exposed areas of the device. Alternatively, masks can be applied to the devices to protect very sensitive parts of the devices from the developing solutions.

Several thousand devices can be fabricated at once. Thus, the process is suitable for high volume-low cost production which is required for many industrial and healthcare applications. The devices can be sufficiently cheap to produce that they can be used once and discarded, thereby preventing carry-over or memory problems associated with reuse.

10 Devices fabricated according to the invention have several characteristics that are desirable for their use in the analysis of species. For example, a regular pattern can be produced of uniform size and shape. The pattern can be of any shape, including circles, squares, rectangles or bands. Further, various materials can be used for the substrate underneath the screen-printed layers, including treated plastics and plastic/metal laminates. These layers may be modified to enhance the attachment of additional molecules, as described below.

20 Once the pattern has been formed, further processing may be used to further modify the pattern for analysis, including deposition or bonding or absorption of metals, proteins, enzymes, catalysts and specific binding partners such as antibodies, antigens, DNA or RNA, avidin, biotin or gene probes. These may be deposited by a number of processes, including ink-jet printing, electroplating, electro-deposition and micro-dispensing.

25 Analysis of the binding events in the pattern may be carried out by, for example, fluorescence, light scattering or absorption analysis. The light output may be read either from above or, if a transparent substrate is used, from below.

A coverslip may be placed over the device. This may assist flow through the device, e.g. by capillary action or diffusion.

30 The method of the invention may be used for the manufacture of micro-electrophoresis analysis structures. These structures may be used for the manipulation

of biological cells, to promote fusion of cell lines, to promote lysis of cells to extract cell components such as proteins, DNA plasmids or genomic DNA, or to separate cell components. Potential gradients may be set up between electrodes on the device to effect separation of cell components.

5 Any of a variety of microstructures may be obtained. For example, the pattern may be configured to achieve the separation of DNA for analysis by, for example, the rupturing of the cells, the precipitation of lipid and protein components, and the analysis of the DNA at another site on the pattern.

10 In another embodiment, chambers and structures can be made of the same order as the size of a cell, to achieve filtration, cell lysis and cell sorting. This is of importance in cell cytometry and haematology. Electrophoresis between electrodes fabricated in the structure may be used to achieve fusion of cell lines, separation of cell contents, DNA/RNA separation and protein separation.

15 The patterns may also be used in conjunction with techniques for the movement of fluids in micro-patterns such as ultrasonic waves propagating on thin membranes *via* acoustic streaming or electro-osmotic pumping of cells within a micro-fluidic system.

The patterns may also be configured for the formation of small reaction chambers or reaction sites/wells in which binding reactions occur, between ligands and their binding partners, which can be monitored. Examples are combinatorial chemistry, binding  
20 between proteins and receptors, and binding reactions between RNA/DNA in the sites and complementary gene sequences in solutions. The latter will be applicable to gene mapping and diagnostics.

In another embodiment, the microstructure is a micro-array in which DNA/RNA is attached to the array to analyse or sequence the DNA/RNA in a sample. Binding  
25 between sample DNA/RNA may be monitored by fluorescence, luminescence or another analysis technique.

In another embodiment, the structures contain whole cell monolayers which are used for assays. The cells are attached by absorption, adsorption, covalently or by another method to surfaces within the device.

30 By way of general example, a microtitre plate is constructed of an array of micro-wells formed by screen-printable layers placed on a polypropylene or other plastics sheet.

The wells are down to 50  $\mu\text{m}$  in diameter, but preferably are of the order of 1-0.5 mm in diameter. Several thousand wells are arranged on each micro-plate. The depth of each well formed by the layers is in the range 5-100  $\mu\text{m}$ . Several layers of screen-printable reagents may be added to increase the depth of the wells to several hundred  $\mu\text{m}$ . The wells are used for reactions suitable for use in the pharmaceutical or diagnostics industry with reagents dispensed into the wells. The wells are read by any of the methods currently used for the monitoring of reactions in the industry, including ELISA, fluorescence binding, luminescence and light scattering.

Chemical amplification reactions such as the Polymerase Chain Reaction (PCR) and the Ligase Chain Reaction (LCR) may be performed on microchannel structures printed on polymeric substrates including polypropylene, polystyrene, polyester, polycarbonate and polytetrafluoroethylene using a UV crosslinkable ink.

Fig. 1 shows a multiwell array. Fig. 2 shows a channel structure comprising sample/buffer reservoirs 1, e.g. 0.5-3 mm in diameter (1d), and a reaction/mixing chamber 2 connected via a channel, e.g. 0.05-0.5 mm wide (3d), with a collection/waste reservoir 4.

In one embodiment of the invention, the microchannel is patterned, by the use of a photomask, onto a UV crosslinkable polymer layer such as Carapace from Electra Polymers, deposited onto the polymeric substrate. After exposure to UV light, the microstructures are developed by treating the plastic sheet with an aqueous sodium carbonate solution. The microchannel structure may be laid over conducting layers, either screen-printed or of laminated conductive layers, to provide temperature control along the microchannel. Alternatively, the microchannel may be screen-printed. Such an embodiment is shown in Fig. 3, and includes thermostatted conductive layers 5, inlet ports 6 and an outlet port 7.

Separation procedures such as electrophoresis and chromatography may be performed on microchannels fabricated on polymeric substrates by screen printing and photolithographic methods. Surface properties of printed microchannels may be altered or modified by chemical means to produce a variety of chemical species including anionic, cationic and functionally-reactive groups on the inner walls of the channels.



In one embodiment, residual epoxide groups on the inner walls of the printed microchannel may be reacted with a bifunctional molecule, typically a primary amine and a carboxyl group separated by one or more methylene groups to produce a predominantly negatively-charged surface. Negative charge may also be introduced by passive adsorption of surface active agents such as polymers and surfactants. Electrophoretic separations may be performed by application of a voltage across the separation channel.

In another embodiment, modification of the microchannel is achieved by printing a thin layer of, say, an epoxy polymer resin or functionalised vinyl resin containing silica microparticles on the plastics substrate, to provide a hydrophilic and charged surface. The sides of the channel can be printed with the same polymer composition.

In another embodiment, chromatographic separations including affinity and ion exchange chromatography may be performed by covalent attachment of ligands and ionogenic groups to the inner walls of the microchannel. Ligands may comprise antibodies, antigens, enzymes, substrates, hormones, receptors and synthetic organic molecules. Alternatively, microchannels may be filled with stationary separation media including naturally occurring polymers such as agarose, starch and cellulose, and synthetic chromatographic media such as polyacrylamide, polystyrene-divinylbenzene and silica. These gel-filled microchannels may be used to perform "nanoscale" separations using conventional chromatographic techniques.

A typical microchannel structure of this type is illustrated in Fig. 4. The channel 8 is, for example, 0.05-0.5 mm wide. It may contain charged species, a ligand L or a stationary phase SP; see Figs. 5 and 6.

In a further embodiment, structures designed for liquid handling/manipulation may be fabricated within the microchannel using screen-printing and/or photolithography. Such structures include frits, filters, valves and pumps. For example, Fig. 7 shows, within a microchannel, a filter 9, a stationary phase 10 and a frit 11. Analogously, Fig. 8 shows a magnetic particle 12 and a valve that is open (O) or closed (C).

The devices may be used with a small portable instrument. In this manner, a complete portable system may be constructed for field analysis of species, or for the

analysis of samples in a GP's office or on a hospital ward. The output from the instrument is related to the level of the analyte in solution.

The device may also include a sample cell or porous layer to wick a sample onto the device from a container. The sample cell or porous layer may also contain buffers and/or other reagents to pre-treat the sample before it arrives at the device patterns. For instance, the sample cell or porous layer may include a buffer salt or surfactant to assist in the flow of plasma or whole blood. An assay device in accordance with this aspect of the invention may be used as a disposable unit which is plugged into an instrument for reading.

The following Examples illustrate the invention.

#### Example 1

Arrays of microwells of dimensions 300-500  $\mu\text{m}$  in diameter and 100  $\mu\text{m}$  deep were screen-printed with a layer of dielectric material (Carapace from Electra Polymers and Chemicals Ltd, Kent, UK) on a plastic sheet made of polypropylene or polystyrene, to provide a plate of 9600 wells with a footprint similar to a 96-well microtitre plate. The printed arrays are cured at 80°C for several hours. The depth of the wells can be increased by printing additional layers of dielectric material. The wells can then used to carry out binding reactions with reagents dispensed into the wells. Monitoring the reaction in the wells takes place by sensitive techniques including fluorescence and luminescence.

#### Example 2

Arrays of microwells, 20-25  $\mu\text{m}$  in diameter, were patterned onto a UV-crosslinkable polymer layer (Carapace) deposited onto a plastic sheet by the use of photomasks. After exposure to UV light, the wells were developed by treating the plastic sheet with an aqueous sodium carbonate solution.

#### Example 3

A sheet of polypropylene 500  $\mu\text{m}$  thick (Priplak, from Adhesive & Display Products, Northampton, UK) was printed with a layer, approximately 50  $\mu\text{m}$  thick, of a UV-crosslinkable ink (Carapace). The microchannel structures were exposed onto the layer by using a positive photomask. The unexposed channels were stripped with a sodium carbonate solution. The microchannel structures correspond to two crossed linear

channels 1.5 and 4 cm in length respectively. The channels were 100  $\mu\text{m}$  wide and 40-50  $\mu\text{m}$  deep. At the termini of the channels, holes 3 mm in diameter were drilled as sample, buffer and collection reservoirs respectively. The channels were covered with an adhesive-backed Mylar sheet of thickness 250  $\mu\text{m}$ .

- 5           Coloured dyes were injected at one port and observed to flow through the channels by capillary action. Such a circuit can be used in conjunction with electrodes inserted into reservoirs formed by connecting tubing to the four holes at the ends of the channels, for the electrokinetic control of both the flow rate and flow direction of species in the channels. Alternatively, the conductive electrodes can be printed at the base of the
- 10   holes by screen-printing conductive inks.

**CLAIMS**

1. A process for the production of a device having a surface microstructure of wells or channels, which comprises one or more steps of screen-printing the microstructure as a curable material onto a plastics substrate, and curing the material.
- 5 2. A process for the production of a device having a surface microstructure of wells or channels, which comprises applying onto a substrate a material that is polymerisable or depolymerisable by irradiation, applying a negative or positive photoresist respectively, irradiating the structure and removing the unpolymerised or depolymerised material.
3. A process according to claim 1, wherein the wells or channels are least 50  $\mu\text{m}$  wide.  
10
4. A process according to claim 2, wherein the wells or channels are at least 3  $\mu\text{m}$  wide.
5. A process according to any preceding claim, wherein the device comprises more than 100 wells.
- 15 6. A process according to any preceding claim, wherein the device comprises wells that are 50 to 500  $\mu\text{m}$  in diameter.
7. A process according to any preceding claim, wherein the device comprises wells that are 5 to 300  $\mu\text{m}$  deep.
8. A process according to any of claims 1 to 4, wherein the device comprises  
20 channels, and which additionally comprises covering the channels.
9. A process according to claim 8, which additionally comprises forming reservoirs at termini of the channels.
10. A process according to claim 9, which additionally comprises the insertion of electrodes in the reservoirs.

1/5

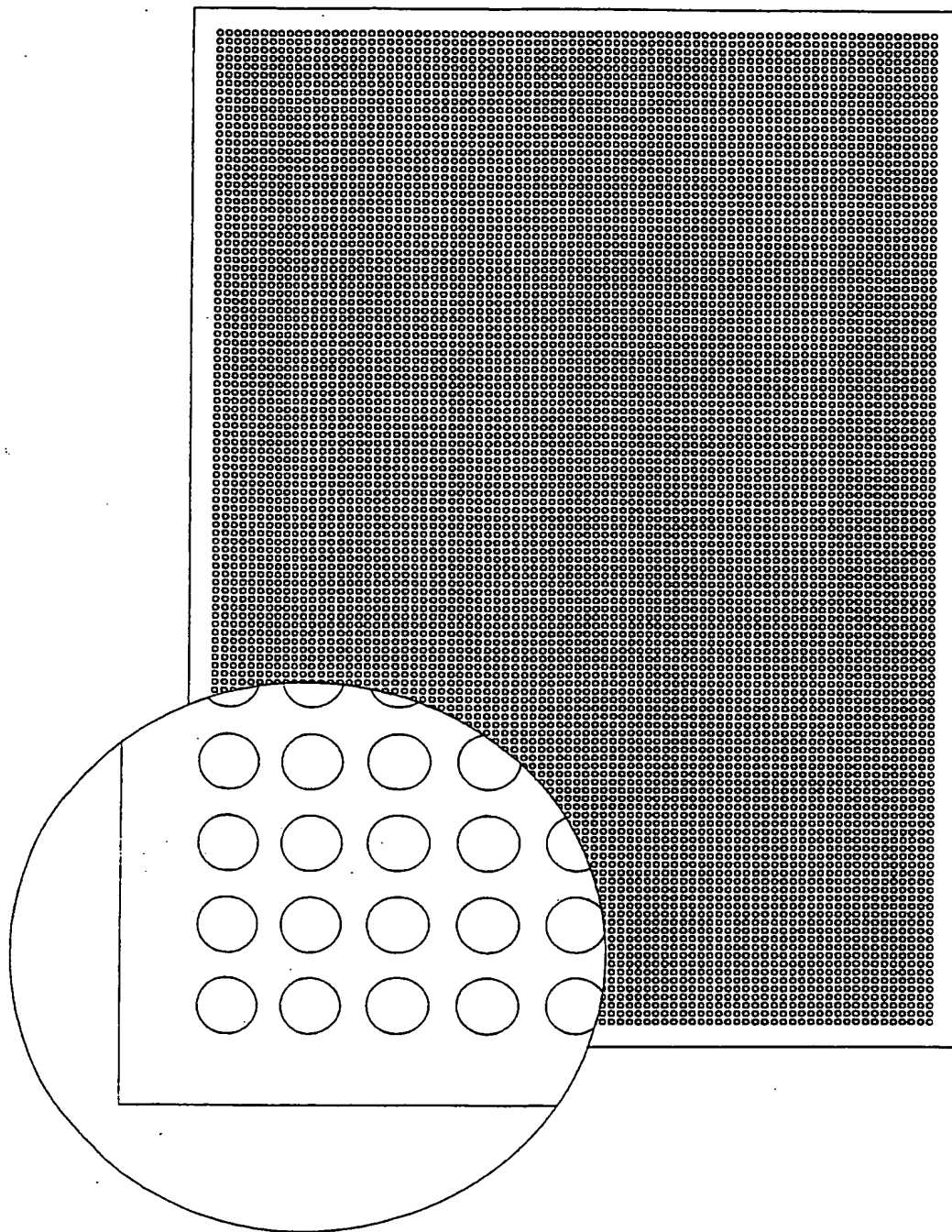


FIG. 1

2/5

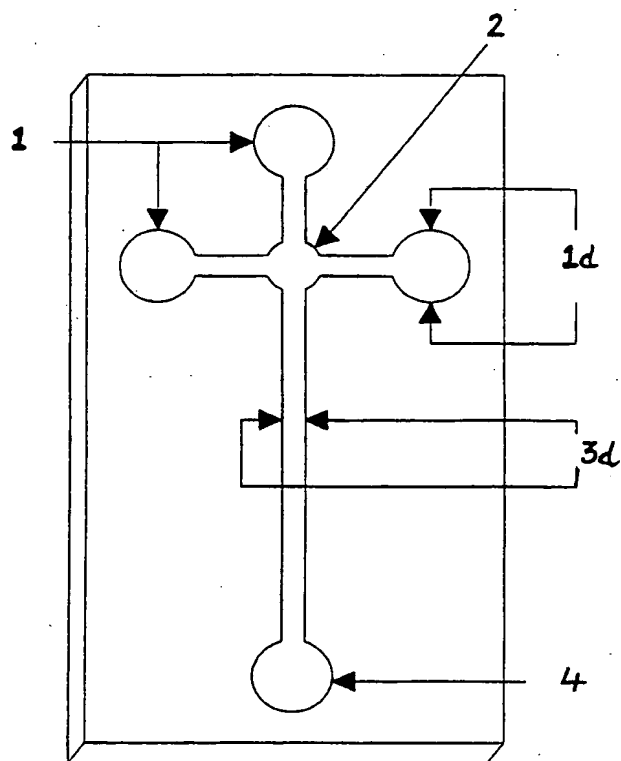


FIG. 2

3/5

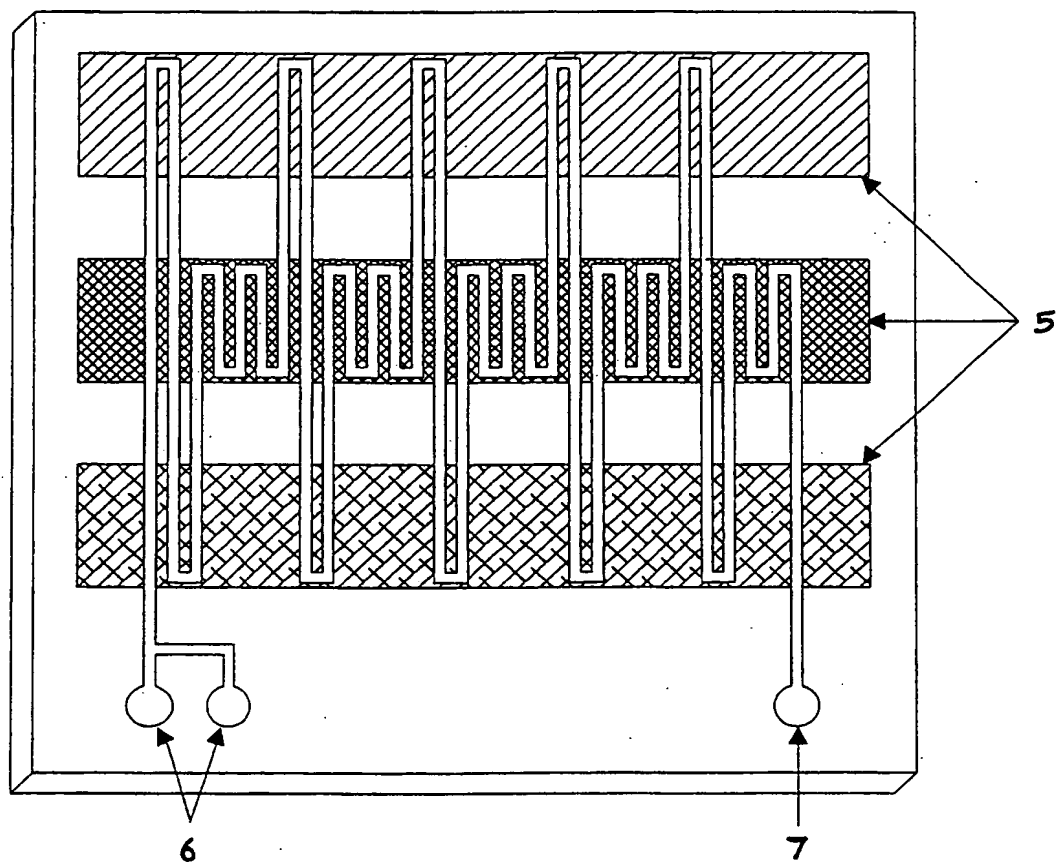


FIG. 3

4/5

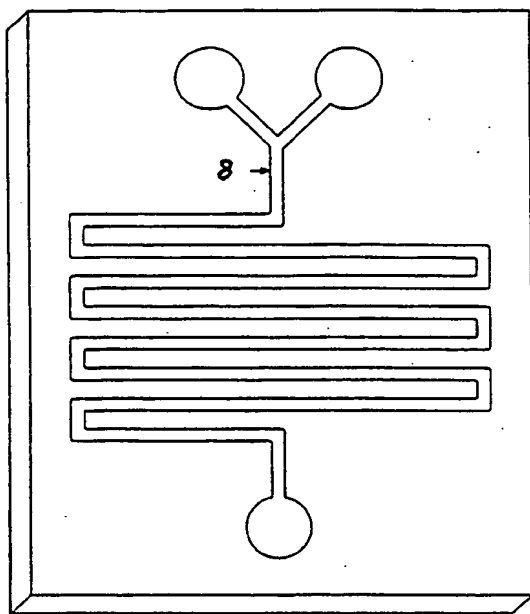


FIG. 4

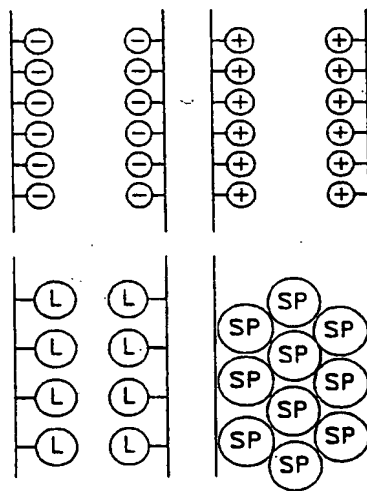


FIG. 5

FIG. 6



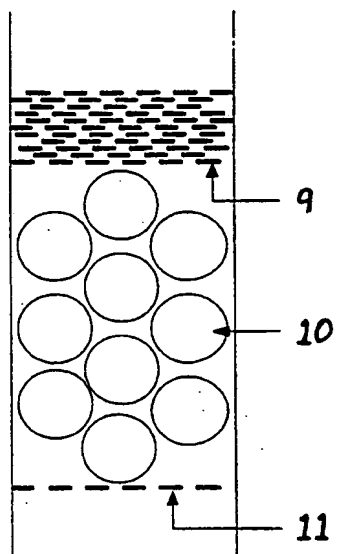


FIG. 7

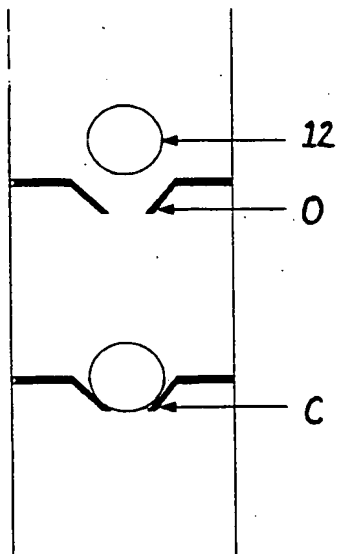


FIG. 8